



UNITED STATES PATENT AND TRADEMARK OFFICE

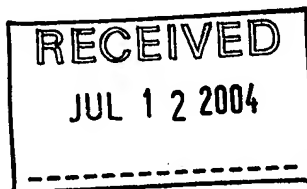
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IFW\$

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/825,685	04/16/2004	Suning Wang	2002-033-03US

CONFIRMATION NO. 8860

Carol Miernicki Steeg  
PARTEQ Innovations  
Room 1625, Biosciences Complex  
Queen's University at Kingston  
Kingston, ON K7L 3N6  
CANADA



## FORMALITIES LETTER



\*OC000000013078177\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/31/2004 SZEWDIE1 00000003 170110 10825685

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 DA  
02 FC:2051 65.00 DA  
03 FC:2201 129.00 DA  
04 FC:2202 261.00 DA  
05 FC:2203 145.00 DA

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$553 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1003 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$553

- \$129 for 3 independent claims over 3.
- \$279 for 31 total claims over 20.
- \$145 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

BINH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WANG, Suning, *et al.*  
Serial Number: 10/825,685  
Filed: April 16, 2004  
Title: Organic Luminescent Compounds and Methods of Making and  
Using Same  
Art Unit: 1774  
Confirmation No.: 8860  
Agent Ref. No.: 2002-033-03US

Assistant Commissioner for Patents  
Washington, D.C.  
20231

August 26, 2004

**REPLY TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Dear Sir,

This is in reply to the Notice to File Missing Parts of Nonprovisional Application dated June 28, 2004.

Applicants enclose the following documents:

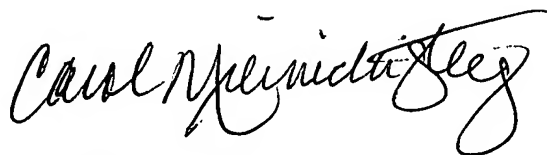
- 1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2) Declaration/Power of Attorney executed by the inventors; and
- 3) Fee Transmittal Form.

Applicants believe that the amount of the additional claim fee reported on the Notice to File Missing Parts of Nonprovisional Application is in error. Applicants believe that the appropriate amount is \$535.00 and not \$553.00 and have authorized on the fee transmittal form the deduction of this amount from our Deposit Account. However, if this belief is in error, authorization to deduct any additional fees which may be required from our Deposit Account No. 17-0110 is hereby given.

Accordingly, authorization to deduct the Filing Fee (\$385.00), the additional claim fees (\$535.00), and the late Declaration surcharge (\$65.00) from our Deposit Account is provided on the enclosed Fee Transmittal Form. Authorization to deduct any additional fees which may be required from our Deposit Account No. 17-0110 is hereby given.

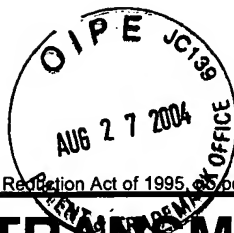
Inquiries concerning this application may be directed to the undersigned agent or (Stephen J. Scribner, Reg. No. 44,452) at 613-533-2342.

Respectfully submitted,

A handwritten signature in black ink, reading "Carol Miernicki Steeg". The signature is written in a cursive style with a large, stylized 'C' and 'S'.

Carol Miernicki Steeg  
Reg. No. 39,539

PARTEQ Innovations  
Queen's University at Kingston  
Kingston, Ontario  
Canada K7L 3N6



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 985

## Complete if Known

Application Number	10/825,685
Filing Date	April 16, 2004
First Named Inventor	WANG, Suning
Examiner Name	
Art Unit	1774
Attorney Docket No.	2002-033-03US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 17-0110  
Deposit Account Name: PARTEQ Innovations

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 385

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
49	-20** = 29	9	261
Independent Claims	6	-3** = 3	43
Multiple Dependent			145

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 535

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 65

## SUBMITTED BY

Name (Print/Type) Carol Miernicki Steeg

Registration No. 39,539

(Complete if applicable)

Telephone 613-533-2342

Signature

*Carol Miernicki Steeg*

Date August 26, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.